

APPENDIX 01

(Issued in conjunction with Circular No. 22/2018 / TT-NHNN dated September 5, 2018 of the Governor of the State Bank of Vietnam on dossiers and procedures for approving personnel lists of commercial banks, non-bank credit institutions and foreign banks' branches)

SOCIALIST REPUBLIC OF VIET NAM **Independence - Freedom - Happiness** -----

CURRICULUM VITAE (1)

1. Personal Information:

- Full name.
- Common name.
- Alias.
- Date of birth.
- Place of birth.
- Nationality/nationalities (if any).
- Permanent address in conjunction with family register, address in conjunction with identity card and current address.
- Identity card number (or passport/ other personal identification papers); issuance date (day/month/year) and issuance place of identity card (or passport/other personal identification papers).
- Name and address of the legal entity which he/she represents, and the equity stake which he/she represents (in case the concerned person represents the equity stake of a shareholder or a legal entity or is assigned as the representative of the State's equity stakes at the credit institution).

Passport photo
(4x6cm)

2. Education

- General education
- Academic degrees (specify name and address of schools; majors; time of study; qualifications (specify in full)).

3. Work Experience

- Occupation, companies/organizations, positions from age of 18 until now. ⁽²⁾.

No.	Duration (from month/year to month/year) ⁽³⁾	Company/ Organization	Position ⁽⁴⁾	Company/organization's industries and personal responsibilities	Notes ⁽⁵⁾

- Awards, disciplines, and liabilities under audit conclusions which lead to the administrative sanctions on the credit institution/foreign bank's branch ⁽⁶⁾.

4. Civil Act Capacity ⁽⁷⁾

5. Legal Commitments

I hereby declare that I:

- Satisfy all the criteria and conditions for the position of at the credit institution/foreign bank's branch.

- Do not violate legal regulations regarding monetary and banking industry.

- Have notified the credit institution/foreign bank's branch of any changes relating to this declaration during State Bank of Vietnam's consideration for the request of ... (name of the credit institution or foreign bank's branch)

- Have provided truthful personal details to the credit institution/foreign bank's branch which is submitted to the State Bank of Vietnam for consideration and approval. I take full responsibility for the completeness, authenticity, and accuracy of the above-mentioned information.

...., day ... month ... year

Declarant's signature and full name

(Certification for the declarant's signature)

Note:

1. The declarant shall provide adequate information as requested and be held liable before the law, credit institution and foreign bank's branch for the completeness, accuracy, and truthfulness of documents. In case of no changes, please provide clear written statement.

2. The declarant shall fully provide the details of previous and current jobs, employers, and positions.

3. The continuity of time must be ensured.

4. In case declarant is a manager or executive as per the regulations of the law and charters of credit institution/enterprises, please provide clear written statement.

5. Notes on the employer shall be required in the following cases:

a) A subsidiary of the credit institution;

b) A State-owned enterprise with holding at least 50% of charter capital;

c) Persons specified under paragraph e, bullet 2, Article 33, Law on Credit Institutions;

d) Persons specified under paragraph c and d, bullet 1, Article 33, Law on Credit Institutions;

6. In case the declarant is one of the persons specified under paragraphs d and h, bullet 1, Article 33, Law on Credit Institutions, please provide clear written statement.

7. Please specify status of civil act capacity (full/limited/disabled).

(Apart from the basic information above, the declarant can provide additional details if necessary)

APPENDIX 02

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Declaration of affiliated person(s)

No.	Declarant and his/her "affiliated person(s)"	Relationship	Number/date of identity card/passport	Position at the credit institution	Position at subsidiary(ies) of the credit institution	Share holding or equity stake at the credit institution (%)	...
(1)	(2)	(3)	(4)	(5)	(6)	(7)	...
1	Nguyen Van A	Declarant					
2	Nguyen Thi B	Wife					
...							

I hereby confirm that the information provided herein is truthful. I shall be fully liable for the completeness, truthfulness, and accuracy of the information provided above.

....., day ... month ... year

Declarant

(Signature and full name)

(Certification for the declarant's signature)

Notes:

- For column (3): fill in the actual relationship between the declarant and affiliated persons specified at column (2) according to bullet 28, Article 4 and bullet 2, Article 62, Law on Credit Institutions and relevant regulations.

(Apart from the basic information above, the declarant can provide additional details if necessary)