

ENVIRONMENTAL AND SOCIAL SECTOR-SPECIFIC QUESTIONNAIRE

(Applicable to projects/ plans for production and business in remaining industries)

PART 1: GENERAL INFORMATION

Company name:	Tax code:
Representatives in charge of Environmental & Social (E&S) issues:	
Position:	Tel/Fax: E-mail:
Main business lines:	
Loan purpose:	

PART 2: LIST OF LEGAL DOCUMENTS ON ENVIRONMENT AND SOCIETY

1. Which of the following **licenses** does Project/Company have? **Please provide originals or copies.**

Environment	<input type="checkbox"/> Approval decision/ Certification document on environment: Environmental impact assessment (EIA)/ Environmental protection project/ Registration of satisfaction of environmental standard/ Environmental protection commitment/ Environmental protection plan (EPP) (<i>not required if an Environmental License has been provided</i>) <input type="checkbox"/> Component environmental license, select the appropriate document (<i>not required if an Environmental License has been provided</i>): <input type="checkbox"/> Certificate of completion of environmental protection work, <input type="checkbox"/> Certificate of satisfaction of environmental standard, <input type="checkbox"/> Certificate of eligibility for environmental protection during import of scrap as raw materials for production, <input type="checkbox"/> License to discharge wastewater <input type="checkbox"/> Registration of hazardous waste generator, <input type="checkbox"/> License to treat hazardous waste <input type="checkbox"/> Environmental License <input type="checkbox"/> License to exploit and use water <input type="checkbox"/> Greenhouse gas emissions inventory report (GHG)/ GHG Certificate (ISO 14064-1:2018) <input type="checkbox"/> Minute of consultation meeting with communities' population directly affected by the Project (<i>If the Project involves land acquisition/resettlement</i>) <input type="checkbox"/> Periodic Environmental Monitoring report/ Annual Environmental Report (AER)
Others	<input type="checkbox"/> Certificate of fire safety design appraisal (<i>not required if a written approval of fire safety commissioning result has been provided</i>) <input type="checkbox"/> Written approval of fire safety commissioning result <input type="checkbox"/> Fire insurance for the Project/Company's assets (<i>compulsory fire and explosion insurance as prescribed by law</i>) <input type="checkbox"/> Annual report on occupational safety and hygiene (<i>can be confirmed via email, document</i>)

2. Which of the following **recognized Certificates** does Project/Company have?

- | | |
|---|--|
| <input type="checkbox"/> Certificate on environment management system ISO 14001:2015 | <input type="checkbox"/> Energy management system - ISO 50001 |
| <input type="checkbox"/> Standardized quality management system - ISO 9001 | <input type="checkbox"/> Corporate Social Responsibility - CSR |
| <input type="checkbox"/> Social Responsibility (SA 8000/BSCI/SMETA) | <input type="checkbox"/> Other, specify: |
| <input type="checkbox"/> Occupational Health & Safety Management System – OHSAS18001/ISO 45001:2018 | |

PART 3: ENVIRONMENTAL AND SOCIAL COMPLIANCE INFORMATION

A. ENVIRONMENTAL MANAGEMENT

I. Environmental and social management system (ESMS)

1. Which of the following actions has the Project/Company implemented in the development and implementation of ESMS?
- Issue a consistent policy defining the E&S objectives and principles that guide to achieve sound E&S performance
- Appoint specific in-house personnel, including a management representative with responsibility and authority for E&S issues
- Develop procedures and mechanisms to track and evaluate E&S performance in operations
- None of the above

II. Commendations/ penalties

2. Has the Project/Company ever achieved **any prizes or awards** on environment protection or social responsibility?
- Never Yes. *Prize information:*.....
3. Has the Project/Company ever been **warned or committed any administrative violation** in environment protection, labor or social responsibility in the last 05 years?
- Yes with additional penalty of operation suspension. *Specify:*.....

- Yes without additional penalty of operation suspension. *Specify*:.....
- Never

4. In the last 01 year, has the Project/Company exposed to a negative media issues or received **any complaints** from neighboring communities about negative impact(s) due to its business activities?

- Yes. *Specify*:..... No

III. Usage of Energy, Fuel, Water Resources and Water Quality Management

5. What measures/solutions does the Project/Company have to **save energy and water consumption**?

- Yes. *Measures and targets*:..... No

6. **Source and volume** of wastewater generation:

No wastewater generation

Production wastewater, flow rate..... m3/day.night

Domestic wastewater, flow rate m3/day.night

7. Which of the following **wastewater management** measure(s) does the Project/Company implement?

- No wastewater generation Have a standard wastewater treatment system
- Sign a contract with centralized wastewater treatment unit Construct environmental incident prevention and response works
- No management measure. *Reason*:

IV. Emission management of polluted gas, dust and noise

8. **Any of the following air pollution agents** arise from the operation of the Project/Company:

- Dust and emissions Noise Greenhouse gas No discharge

9. If **Dust and Emissions** are generated, which of the following measure(s) has the Project/Company taken?

- Have an exhaust gas treatment or filtration system
- Perform measurement, statistics and inventory on the flow, parameters, nature and characteristics of industrial emissions and make an annual reports to the competent authorities
- None of the above measures. *Reason (if any)*:.....

10. If **Noise** is generated, which of the following measure(s) has the Project/Company taken?

- Apply technical and technological measures to minimize noise, ensuring below the threshold allowed by the National Technical Regulations
- Provide hearing protection equipment for employees No. *Reason (if any)*:.....

11. If **Greenhouse gas (GHG)** is emitted, which of the following measure(s) has the Project/Company taken?

- Annually measure and quantify GHG emissions (including direct and indirect sources). Specify the amount of GHG gas generated in the latest year (tons of CO2/year):.....
- Implement/participate in programs to reduce the annual generation of GHG, ozone-depleting substances (ODS). Specify activities and implementation time of these programs:.....
- None of the above measures. *Reason (if any)*:.....

V. Waste management

12. Which of the **following wastes** are generated from the Project/Company's operation:

No discharge

Solid waste. *Volume*:kg/year

Hazardous waste. *Volume*:kg/year

13. If **Solid Waste** is generated, which of the following measure(s) has the Project/Company taken?

- Classify solid waste for separate management (Domestic/ Industrial/ Hazardous solid waste)
- Use solid waste collection and transportation facilities and equipment to fulfill the technical requirements and management procedures (ensuring no spillage, no spreading dust, odors or leaking water)
- Self-treatment of solid waste. *Measurement*:
- Sign a contract with licensed companies to transport and treat hazardous wastes in accordance with the law
- Other measures:
- None of the above. *Reason (if any)*:.....

14. If **hazardous waste** is generated, which of the following measures(s) has the Project/Company taken?

- Have specific areas or warehouses for temporary storage of hazardous wastes in compliance with the requirements of law
- Sign a contract with a licensed companies to transport and treat hazardous waste in accordance with the law
- Perform self-treatment, reuse, recycle, recover hazardous waste or pack back to a supplier for recycling, or hire specialized companies to recover through recovery and reuse processes
- Implement the regime of periodical reporting on waste management, direct reuse of hazardous waste to the competent authority
- Other measures:
- None of the above. *Reason (if any)*:.....

B. LABOR AND WORKING CONDITIONS

The Project/Company has not been put into operation. **Skip part B.** In operation. Total number of employees:

I. Comply with regulations on employment

15. Which of the following **labor use regulation(s)** is/are the Project/Company complying with?

- Do not use minor employees in harmful work
- Do not use illegal labor
- Comply with the time off/holiday/vacation
- Comply with the requirements and payment regime for overtime
- Labor contract
- Issue employee handbook or equivalent documents
- Minimum wage
- Comply with working time
- Social insurance
- Health Insurance
- Promulgate internal labor regulations
- None of the above regulations

16. Which of the following solutions does the Project/Company apply to ensure the **rights of employees**?

- Establish a **local trade union organization**
- Establish **collective labor agreements**
- None of the above
- Other (*specify*):

II. Comply with regulations on Occupational Safety and Hygiene (OSH)

17. Which of the following departments or specialized teams has the Project/Company issued the **decision on establishment of**?

- Decision on establishment of the OSH Council
- Decision on establishment of a specialized department and assignment of OSH staff
- Decision on establishment of a Health Department
- Decision on establishment of a first aid team
- Other (*specify*):
- Decision on establishment of OSH network
- Decision on establishment of a fire prevention and fire fighting team
- Decision on establishment of an emergency response team
- None of the above

18. Does the Project/Company organize periodic **training and coaching** of the following programs for employees?

- Occupational safety and hygiene
- Radiation safety (for radiation and radiation safety workers)
- Safe operation of equipment and machinery with strict requirements on OSH
- None of the above
- First aid and rescue skills
- Chemical safety
- Other (*specify*):

19. Does the Project/Company carry out periodical **safety inspection** for machinery and equipment with strict OSH requirements?

- Yes No Not applicable (not used)

III. Labor protection

20. Which of the following measures has the Project/Company taken to **ensure the safety of employees**?

- Fully equip and provide free personal protective equipment (PPE)
- Perform measures to ensure employees make full use of PPE
- Record the allocation and use of PPE
- None of the above

21. For the last 12 months, has there been **any serious or fatal occupational accident** at the Project/Company?

- Yes (*Specify*) No

IV. Safety, fire prevention and fire fighting

22. Which of the following **fire and explosion insurance** for assets does the Project/Company have?

- Voluntary insurance Compulsory insurance No insurance registration Not obligated to have

23. Which of the following **fire prevention and fire fighting (FPFF)** measures does the Project/Company regularly maintain?

- Propagate, provide (professional) training, professional of fire prevention and fire fighting teams
- Fully equip with fire protection equipment and periodically test, inspect, maintain, repair fire fighting equipment
- Periodic drill on fire prevention and fire fighting according to the approved fire fighting plan
- None of the above

C. COMMUNITY HEALTH AND SAFETY

I. Safety distance

24. Is the **location** of the Project/Company **in or near** (within a radius of 500m) the following areas?

- Yes. *Select one or multiple choices in the table below* No No processing or manufacturing activity

Area of operation of the Project/Company/project	In:	Near:
Commercial area	<input type="checkbox"/>	<input type="checkbox"/>
Residential area (villages, houses, outlets)	<input type="checkbox"/>	<input type="checkbox"/>

Agricultural production area	<input type="checkbox"/>	<input type="checkbox"/>
Important ecological areas (such as natural forests, marshes, swamps, coral reefs)	<input type="checkbox"/>	<input type="checkbox"/>
Water areas (such as wetlands, lakes, rivers)	<input type="checkbox"/>	<input type="checkbox"/>
Important cultural heritage (such as archaeological, geological, historical, religious sites)	<input type="checkbox"/>	<input type="checkbox"/>

25. Does the Project/Company apply measures to ensure **public safety and health** (ensure a safe distance, use the best pollution control technology)? Yes No Not obligated to apply

II. Community opinion and Mechanism/ Focal point to receive information

26. In terms of **external communication and complaint mechanism**, which of the following measures has the Project/Company taken?

- Implement and maintain external communication processes
- Establish a grievance mechanism to receive, process and record complaints and communication of people in the neighboring community or other stakeholders
- Ensure the grievance mechanism is easily accessible, understandable and its availability was communicated to affected communities
- None of the above

D. ADDITIONAL ENVIRONMENTAL AND SOCIAL ISSUES

27. Does the Project/Company carry out/plan to do **any of the activities listed** below?

- Yes. *Select one or multiple choices in the table below*
- Not perform any activity

Environmental and Social Issues	Yes
1. Engage in a business or activity that has the potential to cause harm to a neighboring community (Use harmful chemicals that could spill, could have a toxic air emission release, could explode or catch fire; maintaining or construct a dam; employ security forces; bring in a large workforce from outside the community)	<input type="checkbox"/>
2. Engage in a business or activity where workers on-site could potentially be seriously hurt or killed (Examples of threats include working at heights, handling toxic chemicals, electrical shock, moving or lifting heavy loads, operating machinery, confined space entry, driving cars/trucks, etc.)	<input type="checkbox"/>
3. Planning, or has conducted in last 3 years, any collective dismissals/retrenchment	<input type="checkbox"/>
4. The Project/Company's primary business activity is in the primary production or purchase of living natural resources (including natural and plantation forestry, agriculture, animal husbandry, aquaculture, or fisheries. If the Project/Company purchases these commodities as part of its core business, does its supply chains have any impacts on the ecosystem)	<input type="checkbox"/>
5. Acquiring land in the past 5 years or planning to acquire land in the near future	<input type="checkbox"/>
6. The Project/Company's activities potentially impact on the local ecosystem by changing the quantity and quality of water, air quality and other ecological services, or using genetically modified organisms (If the site is cleared for construction or the facility is located near the National Park or Provincial Ecological Park or above, Protected Area or Sensitive Ecosystem Areas. Examples include swamps, mangroves, coral reefs, forests, etc.)	<input type="checkbox"/>
7. The Indigenous people are directly / indirectly affected because of resettlement/ land use/ labor use of the Project/Company	<input type="checkbox"/>
8. The Project/Company's activities are operated in or near cultural heritage sites (As in the glossary) or commercially use cultural heritage.	<input type="checkbox"/>

* If the Project/Company chooses **YES** to any of the 8 questions above, please complete the corresponding section in the **Additional Questionnaire on E&S Compliance**

E. CONFIRMATION

1. I/ We certify that the information in this Declaration on E&S Compliance and all E&S documents that I/We have provided to the Bank are adequate, accurate, complete, lawful and exact and I/We take full responsibility for any misinformation.
2. By signing below, I/We have read and agreed to provide the Bank's Additional Declaration on E&S Compliance attached to this Questionnaire (if applicable to the entities listed in Part D of this Questionnaire).

....., Date:/...../.....

CLIENT REPRESENTATIVES
(Signature and full name)

ADDITIONAL ENVIRONMENTAL AND SOCIAL QUESTIONNAIRE

*NOTE: Ignore this part if the Project/Company chooses "Not perform any activity". The Project/Company only complete corresponding section that answered "Yes" in **Part D – E&S Sector-Specific Questionnaire**.*

I. The Project/Company engages in a business or activity that has the potential to cause harm to a neighboring community

1. Does the Project/Company implement any of the following measures?
- Disclose information** about the impacts of the project and **ensure the involvement of the community** and stakeholders in the environmental and social impact assessment process of the project
 - Develop and implement an **emergency preparedness and response plan/ procedure** and disclose relevant information in this emergency process to affected communities and local authorities
 - Issue **policies, regulations or codes of conduct and training** to ensure workers and employees of the contractor have appropriate behaviors to the surrounding community
 - Do not take any of the above measures
-
2. In cases the Project/Company **hires or signs a contract** with **security personnel** to provide security services at their facilities, does the Project/Company meet the following requirements?
- Security personnel's background is checked before they are hired (or hired from a reputable security service provider) and during their work are regularly monitored by the Project/Company)
 - Provide training on the use of force and appropriate behavior to employees and the community for security personnel (or security personnel already have a training certificate issued by the reputable security service providers)
 - No record of any unlawful and / or abusive behavior by security personnel to employees and the community
 - None of the above Not applicable

II. The Project/Company engages in a business or activity where workers on-site could potentially be seriously hurt or killed

1. Which of the following measures has been taken for **Employee health care** by the Project/Company?
- Organize regular health checks for employees. *Frequency:..... (time(s)/year)*
 - Regularly organize occupational disease detection for workers in harsh and hazardous working condition according to the law. *Frequency:..... (time(s)/year)*
 - Take precautionary measures to reduce the harmful factors in production to the workers.
 - Implement compensation regimes in cash or in kind for workers who work in harsh or hazardous environment
 - Issue and apply occupational health and safety procedures to prevent accidents, injuries and diseases for workers and the community
 - Do not take any of the above measures Not applicable
-
2. Has the Project/Company issued and applied **initial assessment and auditing procedures for suppliers** to ensure that materials and goods are imported with clear origin (certified) and prevented or minimized E&S risk from the suppliers (such as forced labor and child labor, labor accidents, environmental pollution etc.)?
- Yes. *Specify:.....* No

III. The Project/Company is planning or has conducted collective dismissals/retrenchment in last 3 years

- If a retrenchment was conducted, which of the following measures did the Project/Company take?
- Developed a retrenchment plan and a **plan for using labor**. *Specify:.....*
 - Notify** employees and **pay severance** and unpaid amounts and/or social security and retirement benefits as required by law and collective agreements in a timely manner
 - Do not take any of the above measures Plan:.....

IV. The Project/Company's primary business activity in the primary production or purchase of living natural resources, including natural and plantation forestry, agriculture, animal husbandry, aquaculture, or fisheries

Select the appropriate answer to the production and business activities of the Project/Company:	Yes	No
The primary production of living natural resources is located on unforested land/land already converted	<input type="checkbox"/>	<input type="checkbox"/>
The primary production of living natural resources is conducted in line with relevant credible globally, regionally or nationally recognized standard of sustainable management (provide the certificate if any)	<input type="checkbox"/>	<input type="checkbox"/>
The Project/Company builds a System to identify and assess the origin of goods and the habitat type of this area (<i>Specify:</i>)	<input type="checkbox"/>	<input type="checkbox"/>

V. The Project/Company acquired land in the past 5 years or is planning to acquire land in the near future

1. Which of the following the **measures/ permission on compensation, support and resettlement** has the Project/Company complied with when conducting land acquisition?
- Have a decision on land acquisition of the People's Committee for the land area to be acquired for project purposes
 - Have a decision on the plan for compensation, support and resettlement approved by People's Committee
 - Make appropriate compensation, support and resettlement before land acquisition, ensuring that no dispute occurs
 - Have a minute of land handover on the map and in the field between the investor and the local DONRE

- Have a certificate of land use right or a decision on land allocation/land lease to the Company by the competent authority
- Obligated by law but do not take any of the above measures Not obligated to do

2. Which of the following measures did the Project/Company, or other third party responsible for the land acquisition and resettlement take? If any, please specify and provide evidence	Implemented	Implementing	Do not implement
Provide full compensation costs for land use rights and assets on land (e.g. houses, crops, trees, etc.) to the property owner.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Provide full compensation cost to non-landowners living on the land for any investments or improvements they have made on the land and for economic losses associated with resettlement (if in accordance with the provisions of law)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Conduct a Resettlement Action Plan (RAP) (or Resettlement plan, compensation, support in compliance with national law) or Resettlement Framework (RF) that mitigates the negative impacts of displacement, identifies development opportunities and establishes entitlement for all affected persons	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Conduct a Livelihood Restoration Plan (LRP) (if economic but not physical displacement is involved) Livelihood Restoration Framework (LRF) to offer compensation or other assistance that will establish entitlement for affected persons or communities	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

VI. The Project/Company's operation will impact/is impacting local ecology system by changing in water quality and quantity, air quality and other ecological services, or using genetically modified organisms?

1. Which **type of the habitat** that will be or has been affected by the Project/Company operations?
- Important habitat Adjusted habitat Natural habitat Unclear
2. Does the Project/Company conduct any strategy, plan or solution to **mitigate the negative impacts on biodiversity** to ensure and maintain ecosystem functions?
- Yes. *Specify:* No
3. If the Project/Company performed/ will perform any activities in **legally protected areas** or **'buffer zones'**, has the Project/Company obtained **sufficient licenses** to ensure the operation for legal purposes and in accordance with the planning; consulted with individuals organizations managing protected areas, affected communities, indigenous peoples and other stakeholders (if any)?
- Fully implemented (provide evidence):
- Not fully implemented (specify and provide reason):
- Not engage in any activities in legally protected areas or its buffer zones

VII. Indigenous people directly / indirectly affected by resettlement / land use / employment and the like

- If impacts to Indigenous Peoples cannot be avoided, which of the following measures has the Project/Company taken? If yes, please provide evidence and/or specific information.
- Develop an **Indigenous Peoples Plan (IPP)** or a **Community Development Plan** (or equivalent) that contains separate components for IPs
- Conduct a process of **Informed Consultation and Participation (ICP)** with affected IP communities
- Obtain the **Free, Prior, and Informed Consent (FPIC)** of IPs for operations that will impact lands and natural resources; lead to relocation of IPs from lands and natural resources; impact critical cultural heritage of IPs and/or use IP cultural heritage for commercial purposes
- Not fully implemented (specify and provide reason):

VIII. The Project/Company's activities are operated in or near cultural heritage sites (As in the glossary) or commercially use cultural heritage

1. What is the impact of the Project/Company's activities on **cultural heritage**?
- Make direct impact on cultural heritage (eg. significant damage / modification or relocation)
- Interrupt access to previously accessible cultural heritage sites being used by or that have been used by Affected Communities
- The project is located in a legally protected area or a legally defined buffer zone
- Other impact (Specify):
2. If the impact on the cultural heritage can not be avoided, has the Project/Company developed and applied measures to **mitigate the impact or rehabilitation**?
- Yes (Provide solutions): No
3. If the Project/Company use cultural resources, knowledge, innovations, or practices of local communities embodying traditional lifestyles **for commercial purposes**, will/has the Project/Company complied with the following regulations
- Notify these communities of their rights under national law; the scope and nature of the commercial development, together with the potential consequences of this development
- Fair, open and transparent negotiations with the community (provide evidence):
- Fair and equitable sharing of benefits from commercialization with the community (provide evidence):
- Not implement (specify and provide reason):
- Not applicable

Glossary

1. **Environment:** Encompasses natural and artificial physical factors that are closely related to each other, surround humans, and affect life, economy, society, the existence and development of humans, creatures, and nature. (Clause 1, Article 3 – Vietnamese Law on Environmental Protection 2020);
2. **Society:** A collective or group of people distinguished from other groups by specific interests, relationships, sharing the same institutions, and having the same culture (Note 2, Standard 1 – IFC Performance Standards 2012);
3. **Environmental and Social Risk:** Is a combination of the probability of certain hazard occurrences and the severity of impacts resulting from such an occurrence. (Note 3, Standard 1 – IFC Performance Standards 2012);
4. **Environmental pollution:** Means any change in the physical, chemical, or biological properties of an environmental component in breach of a technical regulation on the environment or environmental standard resulting in adverse impacts on humans, creatures, and nature. (Clause 12, Article 3 – Vietnamese Law on Environmental Protection 2020);
5. **Environmental impact assessment (referred to as EIA):** means the consideration and identification of major environmental issues of an investment project during the pre-feasibility study or the investment project proposal. (Clause 12, Article 3 – Vietnamese Law on Environmental Protection 2020);
6. **Waste:** Means any matter in a solid, liquid, or gaseous form or another form that is discharged from production, business operation, service provision, or living activities or from other activities. (Clause 18, Article 3 – Vietnamese Law on Environmental Protection 2020);
7. **Hazardous waste:** Means any waste that exhibits any characteristic properties: toxicity, radioactivity, infectivity, ignitability, reactivity, or corrosivity or exhibits any other hazardous characteristic properties. (Clause 20, Article 3 – Vietnamese Law on Environmental Protection 2020);
8. **Forced labor:** Means the use of force or threat to use force or other tricks to force a person to work against his/her will. (Clause 3, Article 7 – Vietnamese Labor Code 2019);
9. **Minor employee:** Is an employee under 18 years of age. (Article 143 – Vietnamese Labor Code 2019);
10. **Harmful work for minor employee:** Work related to the production, and sale of alcohol, liquor, beer, cigarettes, etc., work that are harmful to the physical, intellectual, and personality development of minors as stipulated in Article 147 of Vietnamese Labor Code 2019. A person from 13 years of age to less than 15 years of age may only perform light work as regulated in the list stipulated in Article 8 of Circular No.09/2020/TT-BLDTBXH. A person who is less than 13 years of age may only perform work in the areas stipulated in Clause 3, Article 145, Vietnamese Labor Code 2019;
11. **Environmental monitoring:** Means the continuous, periodic, or unscheduled monitoring of environmental components and factors impacting the environment, and waste in a systematic in order to provide the necessary information in favor of assessment of the state of the environment, changes in the environmental quality and adverse impacts on the environment. (Clause 25, Article 3 Vietnamese Law on Environmental Protection 2020);
12. **Environmental audit:** Is a tool to be used to determine the degree to which an activity, process, operation, product, or service is meeting stipulated requirements. (Annex A- IFC Performance Standards 2012);
13. **Occupational safety:** Means preventive measures for dangerous factors to avoid injuries or deaths to employees during the course of work. (Clause 2, Article 3 Vietnamese Law on occupation safety and hygiene 2015);
14. **Occupational hygiene:** Means preventive measures for harmful factors that cause diseases or health declining of employees during the course of work. (Clause 3, Article 3 Vietnamese Law on occupation safety and hygiene 2015);
15. **Occupational accident:** Means an accident that causes injuries to any bodily part and function of an employee or causes death, and occurs during the course of work, in connection with their performance of a job or a task. (Clause 8, Article 3 Vietnamese Law on occupation safety and hygiene 2015);
16. **Occupational disease:** Means a disease caused by the harmful working conditions of an occupation on an employee. (Clause 9, Article 3 Vietnamese Law on occupation safety and hygiene 2015);
17. **Exposure:** Is the contact of living organisms with chemicals, materials, pathogens, radiation, etc., (Assessment of Occupational Health and Environmental Health Risks – Labor and Social Publishing House 2013);
18. **Working environment monitoring:** Means activities of collection, analysis, and assessment of figures in terms of occupational environment factors at the workplace to introduce measures for minimizing harmful effects on health and prevention of occupational diseases. (Clause 10, Article 3 Vietnamese Law on occupation safety and hygiene 2015);
19. **Collective dismissals/retrenchment:** A case where dismissals carried out by an employer for one or more reasons that are not related to the individual workers concerned and where the number of redundancies made within a single establishment over a period of 30 days is (ERDB Retrenchment Guidance 2010):

Company size	Regulation of employees number
Under 20 employees	No specified
21-99 employees	10 employees
100-299 employees	10% of total employees
≥300 employees	30 employees
20. **Labor utilization plan:** Is the plan that the employer must prepare in accordance with what is stipulated in Article 44 Vietnamese Labor Code 2019, in case of changes in structure, technology, or changes due to economic reasons affects the employment or threatens to lose their jobs, having to dismiss 02 or more employees (Article 8 of Decree No. 145/2020/NĐ-CP; Article 42 and 44 Vietnamese Labor Code 2019);
21. **Biodiversity:** Means abundance of genes, organisms, ecosystems in nature (Clause 5, Article 3, Law on biodiversity, 2008);
22. **Conservation of biodiversity:** Means the protection of the abundance of natural ecosystems which are important, specific, or representative; the protection of permanent or seasonal habitats of wild species, environmental landscape, and the unique beauty of nature: the rearing, planting and care of species on the list of endangered precious and rare species prioritized for protection; and the long-term preservation and storage of genetic specimens. (Clause 1, Article 3 – Law on biodiversity, 2008);
23. **Ecosystem:** means a community of organisms and non-living elements interacting and metabolizing as a functional unit in a certain geographical area (Clause 9, Article 3, Law on biodiversity, 2008);
24. **Ecosystem services:** The benefits that ecosystems provide to humans. The benefits are divided into groups: Provisioning services such as food and water; Supporting services such as soil formation, nutrient cycling; Regulating services such as regulating

- floods, droughts, preventing soil erosion and diseases; Tourism and cultural services such as tourism value, entertainment, research, religion and other immaterial benefits (Ecosystem services approach and trade-offs between ecosystem services toward sustainability development – Vietnam Environment Administration, 2012);
25. **Nature conservation zone (referred to as conservation zone):** Means a geographical area that has fixed boundaries and functional sections for biodiversity conservation. (Clause 12, Article 3 – Law on biodiversity, 2008);
 26. **Gene:** Means a unit of heredity or a segment of genetic material that defines specific characteristics of an organism. (Clause 7, Article 3 – Law on biodiversity, 2008);
 27. **Genetically modified organism:** Means an organism whose genetic structure has been modified by gene transfer technology. (Clause 27, Article 3 – Law on biodiversity, 2008);
 28. **Buffer zone:** Means the area surrounding and adjacent to a conservation zone, having the function of preventing and reducing negative impacts from outside the conservation zone. (Clause 30, Article 3 – Law on biodiversity, 2008);
 29. **Resettlement Action Plan (RAP):** Is developed in the event of physical displacement in order to cover the negative impacts of displacement; identify development opportunities; develop a resettlement budget and schedule; and establish the entitlements of all categories of affected persons (including host communities). (Clause 19, Standard 5 – IFC Performance Standards, 2012);
 30. **Livelihood Restoration Plan (LRP):** Is developed in the case of projects involving economic displacement only, the Livelihood Restoration Plan will establish the entitlements of affected persons and/or communities and will ensure that these are provided in a transparent, consistent, and equitable Manner. (Clause 25, Standard 5 – IFC Performance Standards, 2012);
 31. **Resettlement Framework (RF) and Livelihood Restoration Framework (LRF):** Is developed in cases where the nature or magnitude of the land acquisition or restrictions on land use related to a project with the potential to cause physical and/or economic displacement is unknown due to the stage of project development. (Clause 16, Standard 5 – IFC Performance Standards, 2012);
 32. **Physical Displacement:** Relocation or loss of shelter (Clause 1, Standard 5 – IFC Performance Standards, 2012);
 33. **Economic Displacement:** Is loss of assets or access to assets that lead to loss of income sources or other means of livelihood (Clause 1, Standard 5 – IFC Performance Standards, 2012);
 34. **Modified habitat:** Are areas that may contain a large proportion of plant and/or animal species of non-native origin, and/or where human activity has substantially modified an area's primary ecological functions and species composition. Modified habitats may include areas managed for agriculture, forest plantations, reclaimed coastal zones, and reclaimed wetlands. (Clause 11, Standard 6 – IFC Performance Standards, 2012);
 35. **Natural habitat:** Areas composed of viable assemblages of plant and/or animal species of largely native origin, and/or where human activity has not essentially modified an area's primary ecological functions and species composition. (Clause 13, Standard 6 – IFC Performance Standards, 2012);
 36. **Critical habitats:** Are areas with high biodiversity value, including (i) habitat of significant importance to Critically Endangered or Endangered species;(ii) habitat of significant importance to endemic or restricted-range species;(iii)habitat supporting globally significant concentration of migratory species or congregatory species; (iv) highly threatened or unique ecosystems; or (v) areas associated with key evolutionary processes (Clause 16, Standard 6, IFC Performance Standards 2012);
 37. **Legally protected area:** A clearly defined geographical space, recognized, dedicated, and managed, through legal or other effective means, to achieve the long-term conservation of nature with associated ecosystem services and cultural values. (Note 16, Clause 20, Standard 6 – IFC Performance Standards, 2012);
 38. **Indigenous Peoples:** Is used in a generic sense to refer to a distinct social and cultural group possessing the following characteristics in varying degrees: (Clause 5, Standard 7 – IFC Performance Standards), 2012):
 - Self-identification as members of a distinct indigenous cultural group and recognition of this identity by others;
 - Collectively attaching to specific geographical regions or ancestral territories in the project area and to the natural resources in these habitats and territories
 - Collective attachment to geographically distinct habitats or ancestral territories in the project area and to the natural resources in these habitats and territories;
 - Customary cultural, economic, social, or political institutions that are separate from those of the dominant society or culture;
 - An indigenous language, often different from the official language of the country or region.
 39. **Indigenous Peoples Plan (IPP):** Is an outline of the actions to minimize and/or compensate for adverse impacts in a culturally appropriate manner for Indigenous Peoples if adverse impacts are unavoidable. (GN13- IFC Performance Standards, 2012);
 40. **Free, Prior, and Informed Consent (FPIC):** Is develop to express recognition of vulnerability and loss due to displacement of lands, loss of access to natural and cultural resources, etc., of Indigenous Peoples (Clause 11, – IFC Performance 7, 2012);
 41. **Informed Consultation and Participation (IPC):** Involves a more in-depth exchange of views and information, and an organized and iterative consultation, leading to the client's incorporating into their decision-making process the views of the affected communities on matters that affect them directly, such as the proposed mitigation measures, the sharing of development benefits and opportunities, and implementation issues. The consultation process should (i) capture both men's and women's views, if necessary through separate forums or engagements, and (ii) reflect men's and women's different concerns and priorities about impacts, mitigation mechanisms, and benefits, where appropriate. The client will document the process, particularly the measures taken to avoid or minimize risks to and adverse impacts on the Affected Communities, and will inform those affected about how their concerns have been considered. (Clause 31 – IFC Performance 1, 2012);
 42. **Cultural heritage:** Includes intangible and tangible cultural heritages, which are spiritual and material products having historical, cultural, or scientific value and handed down from generation to generation in the Socialist Republic of Vietnam. (Article 1 Vietnamese Law on Cultural Heritage 2001);
 43. **Historical - cultural relic:** Is construction works and sites, as well as vestiges, antiques, and/or national precious objects pertaining to such works and sites and having historical, cultural, and/or scientific value. (Clause 3, Article 4 Vietnamese Law on Cultural Heritage 2001);
 44. **Famous landscapes and beauty spots:** Are natural sceneries or places that exist a combination of natural scenery and architecture with historical, aesthetic, and/or scientific value. (Clause 4, Article 4 Vietnamese Law on Cultural Heritage 2001)/.